

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 50420/DBP/T360
Inventor(s) : Hidenori Takahashi; Masashi Usami; and Kohsuke Nishimura
Title : RING RESONATOR
Express Mail Label No. : EV351234759US

19587 U.S. PTO
10/618114



ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Date: July 10, 2003

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

___ This application claims the benefit of provisional Application No. pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4).

3. **APPLICATION COMPRISED OF**

Specification

13 Specification, claims and Abstract (total pages)

Drawings

7 Sheets of formal drawing(s) (FIGS. 1 to 9)

Declaration and Power of Attorney

☒ Newly executed
___ Unexecuted declaration
___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** *(Appendix)*

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

___ Computer Readable Copy
___ Paper Copy (identical to computer copy)
___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

___ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 50420/DBP/T360

7. ALSO ENCLOSED ARE

- ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) **(Request for Non-Publication)**
- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/Form PTO/SB/08A/B
 - ☒ Copies of IDS Citations
- ☒ Certified copy of Priority Document(s) *(if foreign priority is claimed)*
- ☐ English Translation Document *(if applicable)*
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: July 10, 2003

Docket No. : 50420/DBP/T360

Inventor(s) : Hidenori Takahashi; Masashi Usami; and Kohsuke Nishimura

Title : RING RESONATOR

Duplicate X

FEE DETERMINATION

| CLAIMS AS FILED | | | | | |
|----------------------------------|-----------------|-----------------|----------------------|----------------------|----------|
| | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | FEE |
| TOTAL CLAIMS | 7 - 20 | 0 | x \$9.00 | 0 x \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS | 2 - 3 | 0 | x \$42.00 | 0 x \$84.00 | \$0.00 |
| MULTIPLE-DEPENDENT CLAIMS FEE | | | \$140.00 | \$280.00 | \$0.00 |
| BASIC FEE | | | \$375.00 | \$750.00 | \$750.00 |
| TOTAL FILING FEE | | | | | \$750.00 |
| List Independent Claims: 1 and 4 | | | | | |

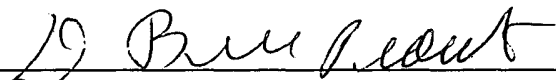
METHOD OF PAYMENT

X Payment Enclosed: Check for \$750.00

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

AAM PAS514494.1.*-7/10/03 10:22 AM